OFE 4011

IFW/1538

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/935,995 TRANSMITTAL Filing Date August 23, 2001 First Named Inventor **FORM** Richard E. Smalley et al. Art Unit 1538 **Examiner Name** Hoa T. Le (to be used for all correspondence after initial filing) Attorney Docket Number 11321-P014US 8 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify **Terminal Disclaimer Extension of Time Request** below): 1) Return Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) ___ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s)

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Firm Name	Winstead Sechrest & Minick P.C.			
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Date	December 9, 2005	Reg. No.	38,150	

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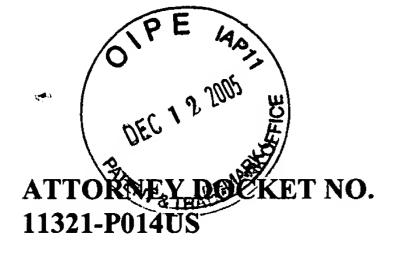
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under 37 CFR 1.52 or 1.53

Date December 9, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit:

1773

Serial No.:

09/935,995

Filed:

August 23, 2001

Confirmation No: 1538

Examiner: Hoa T. Le

Title: POLYMER-WRAPPED SINGLE WALL CARBON

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AMENDMENT UNDER 37 C.F.R. § 1.111

This is in response to the Office Action having a mailing date of September 9, 2005, ("Office Action"), with a three-month shortened statutory period for response set to expire on December 9, 2005. Please amend the above-identified Application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.